

1 from TRW, Dr. Toh.

2 We would like to change our focus a
3 little bit, and we are still talking about spectrum
4 efficiency though. We want to look at the
5 technical approaches for improving spectral
6 efficiency.

7 And we have heard about incidental
8 radiators and interference, and things like that,
9 and things that emit, but the compliment to this
10 are things that receive, and one of the questions
11 that I have always wondered about is what tools
12 could be used for achieving interference protection
13 that are efficient and what are not.

14 And one of the ones that comes up at
15 least in my mind time and time again is receiver
16 standards. Should there be voluntary receiver
17 standards, or should there be mandatory receiver
18 standards, or should there be receiver standards,
19 period.

20 So this is one question that I think we
21 could have some fun with here on the panel. So I
22 see Steve Blust over there, but he doesn't have his
23 hand up yet. So I won't ask him. I will ask one
24 of the other members of the panel to kick off this
25 one. Charlie Trimble, please.

1 MR. TRIMBLE: All right. I will be a
2 lighting rod. Certainly there ought to be receiver
3 standards for services that are in license rebands,
4 because in general those things are going to be
5 inexpensive, and they are going to be consumer.

6 And the consumer isn't going to have
7 the faintest idea of what the magic is, and clearly
8 there is a lot of room for mischief in terms of
9 Navy radars opening garage door openers; for cheap
10 and dirty implementations.

11 MR. WEINREICH: Okay. Thanks, Charlie.
12 Anybody else? Merrill.

13 MR. WEISS: I think we have to
14 recognize that over the years the FCC rules have
15 been built in many ways on what receivers can do.
16 If you look at the causes of spectrum inefficiency
17 -- and again because I come from a broadcast
18 background, I'm thinking about broadcast.

19 But if you look at th UHF band, for
20 instance, you will find that there are so-called
21 taboos there that essentially only allow 1 out of 6
22 channels to be used in a market.

23 And all the other channels, at least
24 when they were originally allotted, would be in
25 adjacent markets, but you couldn't put stations

1 close together because of the fact that receivers
2 couldn't handle signals on certain channel
3 combinations.

4 So if you look -- and it is the
5 adjacent channel, and it is the second adjacent,
6 and it is the third adjacent, and then it is plus
7 or minus seven because of local oscillator
8 radiation; and it is plus or minus eight because of
9 intermittent frequency interference. You know, two
10 stations beating and ending up on some other
11 receiver's IF where it is not even tuned.

12 And it is 14 and 15 channels because of
13 intermod considerations. I'm sorry, because of
14 image considerations. And all of those taboos were
15 generated in the early 1950s based on receivers
16 from the early 1950s.

17 And so when you want to go and change
18 things, you have to start going out and saying what
19 can receivers do today, and then make the case
20 that, well, receivers are so much better today that
21 we really don't need to be paying attention to
22 that, and this is from a broadcaster point of view
23 wanting to perhaps locate a transmitter where it
24 otherwise would not be permissible.

25 But we can address this problem in a

1 couple of ways. We can say, all right, there has
2 got to be some mandatory performance on the part of
3 receivers, and the consumer electronics industry
4 resists that with all their energy.

5 They don't want to be dictated to, but
6 maybe another way to do it is to allow the taboos
7 to be gradually whittled away so that you can put
8 transmitters where maybe you couldn't have put them
9 before.

10 And if that happens over time, then
11 maybe receivers will be forced to perform better
12 than they did in the early '50s, and certainly they
13 already do, because they have to work on cable
14 where every channel is in use.

15 And, for instance, it is the failure to
16 recognize that receivers over the last two decades
17 have gotten so much better because of their use on
18 systems where every channel is occupied, that we
19 still are stuck with those taboos that are a
20 serious loss of efficiency in use of the spectrum.

21 So some way or another, there is an
22 interplay, I think, between the rules and the
23 capabilities of receivers, and whether it is really
24 necessary to make it mandatory, or you can drive it
25 by what you allow transmitters to do. That is what

1 I think is the question.

2 MR. WEINREICH: Thanks, Merrill. Of
3 course, from the engineering standpoint, I think
4 you don't want to allow any more noise into your
5 receiver than you actually or absolutely need.

6 And you need to cover the band or the
7 channel that you are operating on. So that seems
8 to me to set kind of the narrowest that you want to
9 be, and the question is how much can you relax that
10 and still be efficient when you use the frequency.

11 Dr. Rohde, first.

12 DR. ROHDE: I believe, number one, we
13 should have some standards, and that is another
14 reason for the protection of the consumer, because
15 you buy 2 or 3 similar or identical devices, you
16 ought to be able to judge them.

17 But, number two, as was actually
18 pointed out, the technology has vastly improved,
19 and today with multi-layer printed circuit boards,
20 you can now for the same cost, if not for less
21 cost, get higher performance.

22 And I think that one should really
23 resist the lobby of some of industry's a little bit
24 and do something for the end-user. Of course, I am
25 wearing hats. On one side, I am trying to sell

1 something in a market with a high profit margin;
2 and on the other hand, I am the user, and like
3 something that works well. So it is kind of
4 schizophrenic.

5 But the reality is that the bottom
6 technology allow us to do these things, and I think
7 this Commission here and this panel should really
8 put some pressure on the system, and find solutions
9 on how to make not only a transmitter cleaner and
10 to receive a less sensitive to unwanted things.

11 But also to look from a systems point
12 of view on what is possible and desirable, and to
13 have at least one standard; you are allowed to be
14 better than this, but not worse. And I would
15 highly encourage that something like this comes out
16 of it.

17 MR. WEINREICH: Steve.

18 MR. BLUST: I think the other aspect
19 when you look at receiver standards -- voluntary,
20 mandatory, and performance factors -- is what comes
21 down to what is the known environment, or what is
22 the predicted environment of the future.

23 I think today we are facing an
24 environment as was pointed out is very different
25 than what was perceived to be the known environment

1 in the past that was set out, because it is only
2 when you have an appreciation of the environment --
3 I think one of your questions here later, or has
4 already been covered, is should like services be
5 grouped together.

6 It is a lot of those aspects which come
7 into play when you try to determine what receiver
8 standards or performance criteria might be. In
9 cellular and PCS, for example, within those
10 allocations and those usages, in the standards are
11 generally performance criteria that impact the
12 receivers.

13 And we as an industry measure those
14 when we do acceptance of product, even to the end
15 level before we pass them on to the consumer. And
16 by and large, we have designed those criteria to
17 work well within our system.

18 It is when you get interference or
19 perturbations that come from elsewhere, either
20 because it is not a known environment, or the
21 environment has been changed around the known, that
22 you get into a lot of these difficulties and
23 problems.

24 And even whether they are a voluntary
25 standard, whether you look at a mandatory standard,

1 you can't determine what that level of
2 standardization, performance, or criteria, is
3 without understanding both what is necessary for
4 that service, what might be impinging on that
5 service from elsewhere, and what might be the
6 future that brings.

7 So it is a bit of having to have the
8 right crystal ball if you try to develop these
9 standards and extend them for the future.

10 DR. ROHDE: I think the normal car is a
11 good example. If you buy a new car here and you
12 wonder where the AM and FM antenna is -- I
13 installed an auxiliary shortwave radio because I
14 got bored with all the commercials, and I wanted to
15 hear something else.

16 And I wasn't able to hear those
17 stations because some much emission came out of the
18 car here. So I don't know what magic -- sometimes
19 the companies do have an AM radio which doesn't get
20 interference, and then you go a little higher in
21 frequency to get those. those.

22 And the reverse is that if you have a
23 taxi, and you put a radio -- a taxi two-way radio
24 in the car, all of a sudden the microprocessor
25 fails to work. I mean, there is some known areas

1 what can happen and what cannot happen.

2 And I am not always sure whether
3 industry takes it that serious to apply a solution.

4 In some of the handbooks and repair manuals, I
5 found a little note saying that if you are in a
6 hostile environment, add those four components.

7 So the manufacturer in many cases knows
8 what is going on, and he is defensive, and just
9 doesn't want to put those things in for cost
10 reasons, and that is one of those areas which I
11 find it difficult knowingly going into an areas of
12 deficiency.

13 So I think that some competition is
14 necessary, and I wish the news media, whoever is
15 listening to these panel sessions, would follow up
16 on these, and make a point, saying that the
17 consumer is best served not only by reducing the
18 price of a device by five cents, but also by being
19 able that this appliance can tolerate more levels
20 of interference and other things, and therefore is
21 more likely to be good for you.

22 I think it is an issue which totally is
23 down-played, and this goes both ways, transmitted
24 and radiated, internally and externally; coming out
25 in the box and going in the box. I wish that the

1 press were here to cover things like this.

2 MR. WEINREICH: Thank you, Dr. Rohde.
3 Who else would like -- okay, Paul first, and then
4 Steve.

5 MR. RINALDO: In the amateur service,
6 most of our stations are in homes; that is, in
7 residential areas. Amateurs are usually interested
8 in technical devices and get the latest technical
9 devices to put in their homes. And then they find
10 out that their amateur radio transmitter interferes
11 with that new gadget.

12 We have situations where it is not
13 simply an out of allocated band, or a front end
14 overload situation, but it is actually around the
15 same frequencies. For example, Charlie mentioned
16 the unlicensed band at 2.4. Well, actually, it is
17 licensed. It is licensed to the amateur service on
18 a primary basis.

19 It is also licensed in a way to the ISM
20 -- industrial, scientific, and medical services --
21 and that they can run all kinds of power. The
22 licensing arrangement is not the same way, of
23 course.

24 So there we have a mixture of licensed
25 services and unlicensed services in the same band,

1 and it is a problem, and it is a growing problem.
2 So what is not happening is taking into account the
3 proximity of the transmitter.

4 In other words, an amateur transmitter
5 is in the home, and there are devices in the home,
6 and nobody is going through this stuff to begin
7 with, and we find out these problems after we get
8 on the air, and maybe interfere with ourselves, or
9 the neighbor carrying a shotgun and is looking
10 through the screen door at us.

11 And actually a formal interference
12 complaint means that he is carrying a white
13 shotgun. So that is the environment that we live
14 in, and I am not so sure that it is getting worse
15 or better, because there has been a history to
16 this.

17 There was a time when very early
18 television sets were bothered a great deal by
19 amateur transmissions. That has been fixed for the
20 most part, and the biggest contribution was the
21 cable television.

22 There have been cases where the cables
23 themselves leak on amateur frequencies. So, okay,
24 we complain, and we work with the cable companies,
25 and they take that channel off the air or start

1 tightening up all their connectors.

2 There are a number of cases as Ulrich
3 mentioned with cars. Our laboratory works with the
4 car manufacturers from time to time, and when we
5 find out that things like the steering mechanism
6 won't work if you transmit.

7 These things are worked out, but they
8 are always worked out after the fact, and that is,
9 that they built their equipment, and they have
10 shipped it all, and they have got hundreds of
11 thousands, or millions of them out there, and then
12 we find out that there are problems.

13 Now, the problems may not be 50 percent
14 of the time. It may be only 1 percent or 10
15 percent of the time these things could happen. It
16 is very difficult to retrofit these things at the
17 time, although we are sort of forced to.

18 In effect, a neighbor's telephone is
19 not supposed to pick up, and is not supposed to
20 intercept radio transmissions, but they do. A
21 simple fix sometimes is to put a capacitor there,
22 or wrap the wires around the toroid, and the
23 interference goes away.

24 But I guess the question is who should
25 be making those repairs, and especially if the

1 neighbor is really offended, and figures, look, it
2 is very simple.

3 When you transmit, I hear the interference. When
4 you stop transmitting, I don't hear the
5 interference.

6 Therefore, you are wrong and I am
7 right. That's the problem that we have. Thank
8 you.

9 MR. WEINREICH: Thanks, Paul. Steve.

10 MR. GILLIG: Yes. I do believe there
11 should be some sort of minimum receiver
12 specifications that are put on the units. I think
13 particularly -- well, as was mentioned before, in a
14 lot of license bans, that comes as part of the
15 normal system design and the architecture as it
16 comes into the system.

17 But particularly in the unlicensed
18 band, which we have now, and which we are
19 considering further on licensed bands, what can
20 happen there is that you would have people -- if
21 they didn't have minimum receiver requirements, you
22 could easily see where you could come in and come
23 up with a unit that has basically no interference
24 protection at all, and is really cheap, and get
25 that out on the market, and everybody just loves it

1 because it is so darn cheap until everybody has
2 one.

3 And then they all interfere with each
4 other and everything else. So that is something
5 that we have to look at, is that if you are going
6 to put services, particularly anything that are
7 disk-like services in the same band, you have got
8 to have some interference minimum requirements.

9 MR. WEINREICH: Thank you, Steve. What
10 about from the audience? Are there -- okay. Marc
11 first.

12 DR. GOLDBURG: Listening to the
13 discussions, there are really two types of
14 interference issues being addressed. One was co-
15 channel interference, and the other one was
16 adjacent channel interference, and they got mixed a
17 little bit in the discussion.

18 And while one really can address the
19 issues of adjacent channel interference through
20 better receiver design, and better front end
21 filters, better selectivity, all that, I think the
22 co-channel interference -- it is much harder for me
23 to imagine a general spectrum would work in the
24 unlicensed band.

25 How do you filter out interference that

1 is in your band other than -- I don't know, channel
2 coding or something like that.

3 MR. WEINREICH: Thank you. I think --
4 let me just comment on that a little bit. You
5 handle a co-channel interference either of two
6 ways. Either you coordinate it amongst the users
7 of the spectrum, or you try and use some kind of
8 modulation scheme that can mitigate the
9 interference.

10 Over on this side, we had -- please
11 give us your name, please.

12 MR. FOX: Paul Fox, an independent
13 consultant. I would like to go back to the case of
14 t.v. receivers that Mr. Weiss raised, because I
15 think it is fairly relevant history, and worth
16 considering in terms of our goals of increased
17 spectrum efficiency.

18 At least circa 1980, when the FCC
19 measured the t.v. receiver performances, there had
20 not been a significant improvement in taboo
21 rejection over what there was, namely because the
22 marketplace was not imposing any challenge upon
23 them.

24 It turns out that the cable t.v.
25 experience of having a signal on every channel is

1 not as relevant because they are all equal, and the
2 sound carriers are down by another 10 dB.

3 The FCC did, however, contract with
4 Texas Instruments and RF Monolithics for t.v.
5 receivers, which were demonstrated that they could
6 essentially have eliminated the need for the taboo.

7
8 The FCC could, and I think should have,
9 back then regulated t.v. receivers, and mandated an
10 improvement in t.v. receivers. The only thing that
11 has in a sense saved the commission has been the
12 migration to digital, which has the lack of a
13 coherent carrier in its carrier; i.e., less
14 interference potential.

15 And a better resilience to beats from
16 analog t.v. sets. But if the Commission had back
17 in 1980 in mandating improvements in t.v.
18 receivers. I think the current problems with 700
19 megahertz public safety would be a lot easier to
20 solve. Thanks.

21 MR. WEINREICH: Thank you. Carl, you
22 wanted to add something.

23 MR. STEVENSON: Yes. There was a
24 comment before of something to the effect that
25 consumer electronics folks have resisted receiver

1 standards, and the manufacturers of devices for use
2 in the unlicensed bands, the Part 15 type devices,
3 tend to get lumped in with that.

4 And I just want to make it clear that
5 in its comments to the task force, IEEE 802 stated
6 that we believed that the development of receiver
7 performance standards or guidelines as part of
8 equipment type acceptance would be beneficial in
9 addressing the issue of harmful interference.

10 Also, knowing the minimum's performance
11 characteristics of equipment operating in a
12 particular band can be essential to conducting
13 sharing feasibility studies, and designing devices
14 that can share with existing systems, which will
15 promote new applications and increased spectrum
16 sharing and efficiency.

17 We are going back to the idea of using
18 unused spectrum in a dynamic way, and if the
19 manufacturers and the developers of the standards
20 know what minimum performance they can expect,
21 because the commission requires it, then it is much
22 easier to design systems that can live together
23 happily in that environment through a combination
24 of modulation and coding techniques, and protocols
25 that allow -- you know, cooperative dynamic sharing

1 and co-existence.

2 So of the candidate criteria for
3 receiver performance standards would include
4 selectivity, susceptibility, dynamic range, local
5 oscillator phase noise, and unwanted emissions.

6 These are all things that we believe
7 the commission should look at developing minimum
8 standards for in the equipment authorization
9 process. Thank you.

10 MR. WEINREICH: Thank you, Carl. I
11 think the things that you mentioned are things that
12 -- at least the communications users of the
13 spectrum routinely look at as far as trying to make
14 sure that their system is going to provide the
15 performance that they have told their customers
16 that will happen.

17 I know that it is that way in the
18 satellite industry, and I am sure it is that way
19 also in Sabre mobile radio. Steve first, and then
20 Ulrich.

21 MR. BLUST: I think from the previous
22 comments that when you look at dynamic usage and
23 utilizations, and a sort of a laissez-faire
24 approach to systems and services, I go back to the
25 fact that you have got to know what you are

1 designing for.

2 Most of the situations that we begin to
3 see time and time again are because we are
4 increasingly adding things in, around, or on top of
5 what we already had out there, and we are changing
6 that design problem.

7 So, again, when you begin to look at
8 how to be totally dynamic, and you look at the
9 number of different combinations of things on the
10 board today, plus the technology advances of the
11 future, I am not sure that you can ever build the
12 right matrix that says these are all the things
13 that I am designing for, and if you could build
14 that matrix, does that product match the economics
15 of the marketplace that those products need to be
16 in.

17 MR. WEINREICH: Thanks, Steve. Ulrich.

18

19 DR. ROHDE: That is a good question,
20 that if they can afford to build everything, you
21 can do it. But I wanted to add one more thing.
22 The FCC has given a great possibility and
23 responsibility to the radio amateurs and their
24 playground.

25 And I think if the FCC would analog to

1 what has been used for tech instruments, and to
2 develop a front, and if the FCC would work close
3 together with the American Radio Relay League, as
4 an example, to look at possible things, I think
5 that this would make the league very happy, and
6 would make the consumer very happy, because these
7 things would all be looked at prior to their
8 occurrence.

9 And that is something that I am not
10 sure why the specifications and tests specifically,
11 when the FCC knows that the league has these
12 capability measurements is not used. Has the FCC
13 ever looked at actually asking to do the league
14 something for their privileges? I think I would
15 look into this.

16 MR. ENGELMAN: I think we will look
17 into that. I know that we have had a partnership
18 with the league on a number of issues, but whether
19 we have asked them to look at this specific issue
20 in the past, or worked with them, I'm not sure.

21 DR. ROHDE: They are quite capable of
22 doing it.

23 MR. ENGELMAN: Paul might know
24 actually.

25 MR. RINALDO: Well, I don't know about

1 the general or this specific question, but we
2 certainly have worked with the FCC on a number of
3 issues over the years.

4 Our laboratory is always available to
5 look at these issues. We have solved problems
6 together, and we have an ongoing dialogue
7 concerning enforcement, and I guess that is another
8 thing that we have not mentioned here.

9 But sometimes some users of the
10 spectrum get out of hand, and once they start
11 interfering too greatly with others, they have to
12 be found and dealt with in some manner.

13 And we have identified some of those
14 cases, and the FCC enforcement has improved over
15 the years, and they are still improving. So there
16 is a feedback loop going, and as I said, my moat is
17 always open.

18 MR. WEINREICH: To go back to something
19 that Steve said about designing for what you -- for
20 the environment that you know, that kind of gets to
21 the question of, well, what about what you don't
22 know, and what about what would come after you
23 finish your design.

24 And that I think would lead us to
25 something like the software designed radio, or the

1 software defined radio, where it would be adaptable
2 or readily adaptable to different schemes, and
3 perhaps different interference schemes that might
4 able a user with a specific spectrum allocation to
5 combat or to mitigate some kind of an interference
6 situation that arises.

7 DR. ROHDE: Can I add one more thing
8 here? Last year, I bought a sailboat, and the
9 sailboat has a refrigeration system on it. And I
10 will tell you that I have never seen a better
11 transmitter than this refrigeration system, and I
12 am absolutely at the end of my wit, because I don't
13 know what to do.

14 Is the FCC regulating this, because I
15 have a shortwave radio which is for global marine
16 distress purposes, and so it is a legalized radio,
17 and I can't use it. The refrigeration system hates
18 me. The deep freezer hates me. The radar unit
19 sends out clocks every one second.

20 I am really sitting in the middle of
21 noises in a sailboat somewhere in the Atlantic.
22 The satellite telephone doesn't work, and so I am
23 out of reach. The cell phone doesn't work, and I
24 have no idea what to do.

25 So that is an interesting question.

1 Yes, as a consumer, you sit there, and you are in
2 trouble. So this is an environment that you do
3 know, and it is a sailboat, and it has no
4 shielding, and it has a lot of things here.

5 MR. TRIMBLE: But aren't you the
6 consumer and can't you decide what you want to have
7 interfere with yourself?

8 DR. ROHDE: Well, at the time you buy
9 this, you have no idea what they are doing.

10 MR. TRIMBLE: That was a rhetorical
11 question.

12 DR. ROHDE: I know, but it is a serious
13 question.

14 MR. TRIMBLE: It is a serious question.
15 It is a problem.

16 MR. WEINREICH: Right. The problem is
17 that the engineer goes out and designs his system
18 to work a certain way, and then is confronted with
19 this unknown that pops up like in the freezer. And
20 I think it leads us to somehow ask the Commission
21 to provide some guidance at least on how do we make
22 things more electromagnetically compatible.

23 EMC or electromagnetic compatibility
24 seems to becoming more and more of an issue as far
25 as the devices that we use on a day to day basis.

1 Steve Gillig first, and then Blust. I'm sorry.

2 MR. GILLIG: Since we finally brought
3 up the issue of software defined radio, which is a
4 controversial topic, and once you have one, this
5 Holy Grail, why then all the other questions kind
6 of go away.

7 I would have to say that first off on
8 that, there is two parts to a software defined
9 radio. There is a software in the signal
10 processing, and then there is all these RF hard
11 components which you don't really just change by
12 going in and tweaking the atoms and things like
13 that in software.

14 So there is some things that you can do
15 in software and software defined radio. You can
16 get rid of certain types of interference, but there
17 is a whole lot of them, and a lot of the types of
18 interference that you are talking about here from
19 out of band interference that you really can't get
20 rid of because you have to protect those in the
21 receiver hardware before it ever gets in to where
22 you are doing the signal processing.

23 So software defined radio is a great
24 thing, but I think what we have heard in some of
25 the side conversations, too, is that the aspects of

1 software defined radio are starting to come in.

2 Radios are becoming more flexible, and
3 they are having adaptable modulation schemes and
4 things like that, and that's true. But to wait for
5 a Holy Grail that just says this software defined
6 radio can overcome whatever interference is out
7 there is something that we shouldn't count on.

8 And even if we technically could do it,
9 whether it is something that economically would
10 make sense is another thing altogether.

11 MR. WEINREICH: Mr. Blust, please.

12 MR. BLUST: To continue on that same
13 thought, when we look at having to -- when we get
14 expansion and additional spectrum for a lot of
15 services, often times just because the nature of
16 spectrum is full, we are looking at it being on
17 different and varied frequency bands.

18 So when we begin to design receivers or
19 transmitters for that matter that have to operate
20 over 3, 4, or 5 different discreet frequency bands,
21 the trade-off there may be the costs associated
22 with having to put in the front ends to handle four
23 frequency bands, versus being able to put in a very
24 high performance front end and other techniques
25 which may improve on a single frequency band.